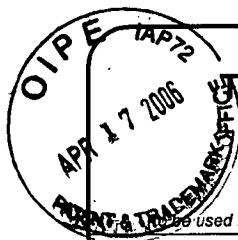


IFW

PTO/SB/21 (09-04)



# TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application Number	10/633,480
Filing Date	September 15, 2003
First Named Inventor	Kiyoshi Honda
Art Unit	2189
Examiner Name	Christian Chase
Attorney Docket Number	26869T-001400US

## ENCLOSURES (Check all that apply)

- |   |  |   |
|---|--|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Reply to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br><input type="checkbox"/> Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>USPTO forms SB08A & B (four pages),<br>copies of 13 cited references, Return<br>Postcard |
|---|--|---|

Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Townsend and Townsend and Crew LLP		
Signature			
Printed name	Chun-Pok Leung		
Date	4-12-06	Reg. No.	41,405

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TOWNSEND and TOWNSEND and CREW LLP

By:

Roger Hudson

PATENT

Attorney Docket No.: 26869T-001400US

Client Reference No.: H0165UPD7US



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

KIYOSHI HONDA et al.

Application No.: 10/633,480

Filed: September 15, 2003

For: VIRTUALIZATION  
CONTROLLER AND DATA  
TRANSFER CONTROL METHOD

Examiner: Christian Chase

Art Unit: 2189

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37  
CFR §1.97 and §1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the cited foreign patent and non-patent references are enclosed.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Respectfully submitted,



Chun-Pok Leung  
Reg. No. 41,405

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, Eighth Floor  
San Francisco, California 94111-3834  
Tel: 650-326-2400  
Fax: 650-326-2422  
RL:rnk

60733794 v1

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

4

**Complete if Known**

Application Number	10/633,480
Filing Date	September 15, 2003
First Named Inventor	Kiyoshi Honda
Art Unit	2189
Examiner Name	Christian Chase
Attorney Docket Number	26869T-001400US

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	6,976,103	12-13-2005	Watanabe et al.	
	2	6,973,549	12-06-2005	Testardi et al.	
	3	6,922,761	07-26-2005	O'Connell et al.	
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	7	2005/0010734	01-13-2005	Soejima et al.	
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	35	2002/0156987	10-24-2002	Gajjar, et al.	

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				Application Number	10/633,480	
				Filing Date	September 15, 2003	
				First Named Inventor	Kiyoshi Honda	
				Art Unit	2189	
				Examiner Name	Christian Chase	
Sheet	2	of	4	Attorney Docket Number		26869T-001400US

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		Number Kind Code <sup>2</sup> (if known)			
	36	2002/0133735	09-19-2002	McKean et al.	
	37	6,446,175	09-03-2002	West et al.	
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	40	2002/0087544	07-04-2002	Selkirk et al.	
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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	62	PCT	WO03/023640		03-20-2003	Sanrad		<input type="checkbox"/>
	63	JP	2002-230246		08-16-2002	Hitachi Ltd.		abstract only
	64	JP	2002-157091		05-31-2002	Hitachi Ltd.		abstract only

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				Application Number	10/633,480
				Filing Date	September 15, 2003
				First Named Inventor	Kiyoshi Honda
				Art Unit	2189
				Examiner Name	Christian Chase
Sheet	3	of	4	Attorney Docket Number	26869T-001400US

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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures	T <sup>a</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	65	JP	2001-067187		03-16-2001	Hitachi Ltd.		abstract only
	66	JP	2000-293317		10-20-2000	Hitachi Ltd.		abstract only
	67	JP	09-288547		11-04-1997	Hitachi Information Technology		abstract only

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				Application Number	10/633,480
				Filing Date	September 15, 2003
				First Named Inventor	Kiyoshi Honda
				Art Unit	2189
				Examiner Name	Christian Chase
Sheet	4	of	4	Attorney Docket Number	26869T-001400US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	68	"Celerra Data Migration Service (CDMS)," White Paper, EMC Corporation Hopkinton, MA (January 2002).	<input type="checkbox"/>
	69	"Data Migration Solution Transferring Data Between Storage Systems over Fibre-channel or SCSI Connections," SANRAD Application Note: APP-003-03, SANRAD Inc. San Mateo, CA (2003).	<input type="checkbox"/>
	70	"Migrating Individual Servers to an iSCSI SAN," SANRAD Application Note:APP-004-0 1, SANRAD Inc. San Mateo, CA (2003).	<input type="checkbox"/>
	71	"Network Attached Storage in the Data Protection Environment," Sony Electronics Inc. (Feb. 2002).	<input type="checkbox"/>
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	73	SARKAR et al. "Internet Protocol storage area networks," IBM Systems Journal 42: 218-231 (2003).	<input type="checkbox"/>
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